

Compliance Review Deficiency Codes and Ratings

In determining each finding's rating, the GSFC Compliance Team considered the severity of the finding by weighing whether the finding results in a monetary error, is an administrative error, is possibly correctible, and how the finding directly affects the students or the administration of the program.

Code	Code Description or Example
5 – Fraud by the institution: False certification of an award	
FCS	False Certification (School) – School falsely certifies applications, creates fictitious applicants, etc.
4 – Administrative error that could affect a large population of students that would result in a large monetary liability if not addressed	
GPA	Grade Point Average – Student did not meet GPA requirements, did not have a 3.0 GPA at checkpoint
RFE	Return of Funds Error – Error in calculating the funds to be returned to S & G because of withdrawals or dropping of hours
INV	Incorrect Invoicing – School submitted invoice for wrong amount, wrong number of hours, wrong tier, etc.
RES	Residency – Not a Georgia resident
SYL	Student awarded more than seven years after high school graduation date or graduation date of the student's high school class if the student withdrew from high school prior to his graduation date, GED test date or Home Study program completion date, whichever occurs first.
TMR	Time Requirement for Georgia residency – not a Georgia resident for the required amount of time before receiving State funds.
3 – Administrative errors that, although incorrect, may potentially affect a smaller population of students with relatively minimal monetary liability	
SEL	Selective Service – Student not registered for selective service – school error
SAP	Satisfactory Academic Progress – Student not meeting school's SAP requirements
INS	Eligible Non-Citizen Status - Student not a Citizen or Eligible Non-Citizen
TMI	Time Requirement for Eligible Non-Citizen Status - not a Citizen or Eligible Non-Citizen for the required amount of time before receiving State funds.
DEF	Defaulted Student - Any indication that the student is in default or owes an overpayment/repayment on a Title IV/State program
NER	Not Enrolled – Student was not enrolled for the term, but was invoiced for and/or received funds
DFA	Drug Free Act – Student is not eligible due to drug conviction, length of time since conviction, etc.
OAW	Over Award – Student was awarded funds that exceeded his/her Cost of

	Attendance or over Tuition & Fees
ERH	Enrollment Hours – Student was not enrolled in the correct number of hours to be eligible for financial aid program funds (ex. Received LEAP when only enrolled 5 hours)
EXH	Exceeds Hours – Student has exceeded Attempted, Paid, or Combined-Paid limits, or paid over program of study limits
TSE	The institution incorrectly certified student for a HOPE Scholarship award at a Host Institution.
CRE	CHECS Reporting Error – Student’s coursework incorrectly reported to CHECS
2 – Missing or incorrect documents which could, but not always, result in monetary liability	
ATM	Academic Transcript Missing – Student’s current or previous official college transcripts missing from file – school error
MSG	Missing Scholarship & Grant Application – Student’s file does not contain appropriate S & G Application
MD	Missing Documentation – Student’s file does not contain documentation to support PJ decisions
IPS	Incorrect Program of Study – Student was paid for courses outside of their program of study
1 – Non-monetary exceptions	
IRD	Incorrect Reporting of Data – School invoices and pays student for correct number of hours, but reports the incorrect number of hours on SURFER
BKA	Book Allowance Authorization/Availability – School did not get student authorization to apply book allowance funds to other charges, held funds too long, etc.
BKE	Book Allowance Errors – Incorrect awarding of book allowance (ex. Paid full-time award when only enrolled half-time)